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JC952 U.S. PTO
05/20/01

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JC986 U.S. PTO
05/20/01
09/848468

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventorship Yuval
Applicant Microsoft Corporation
Attorney's Docket No. MS1-755US
Title: Software Anti-Piracy Systems and Methods Utilizing Certificates With Digital Content

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks
Washington, D.C. 20231

From: James R. Banowsky (509) 324-9456
Customer No. 22801

22801
PATENT TRADEMARK OFFICE

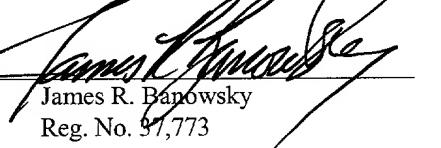
The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal Letter with Certificate of Mailing included.
2. PTO Return Postcard Receipt
3. Fee Transmittal
4. Check in the amount of \$1396.00
5. New patent application (title page plus 39 pages, including claims 1-47 & Abstract)
6. Executed Declaration
7. 6 sheets of formal drawings (Figs. 1-6)
8. Assignment w/Recordation Cover Sheet

Large Entity Status [x] Small Entity Status []

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees under 37 CFR 1.17.

Date: 5/2/01

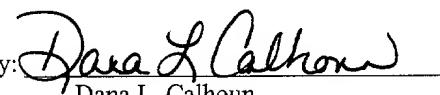
By: 
James R. Banowsky
Reg. No. 37,773

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) EL685270838

Date: 5/2/01

By: 
Dana L. Calhoun

05/02/01
JCS62 U.S. PTO

05-04-01

EL685270838

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PTO/SB/17 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032
U S Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$)1396⁰⁰

Complete if Known

Application Number	
Filing Date	
First Named Inventor	<u>Yuval</u>
Examiner Name	
Group Art Unit	
Attorney Docket No.	<u>MS1-755US</u>

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 12-0769
Deposit Account Name Lee & Hayes PLLC

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Fee (\$)	Fee Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

SUBTOTAL (1) (\$)710⁰⁰

2. EXTRA CLAIM FEES

Total Claims	<u>47</u>	Extra Claims	<u>-20**</u>	= <u>27</u>	X <u>18</u>	= <u>486</u>	Fee Paid
Independent Claims	<u>5</u>	- 3** =	<u>2</u>	X <u>80</u>	= <u>160</u>		
Multiple Dependent							

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claim, if not paid
109	80	** Reissue independent claims over original patent
110	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)646⁰⁰

*or number previously paid, if greater; For Reissues, see above

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)40⁰⁰

SUBMITTED BY

Name (Print/Type)	<u>James R. Banowsky</u>	Registration No. (Attorney/Agent)	<u>37,773</u>	Complete (if applicable)
Signature	<u>James R. Banowsky</u>	Telephone	<u>(509)324-9256</u>	
		Date	<u>5/2/01</u>	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U S Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231